

2176 #1  
7-19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Ian Abrahams, et al

Attorney Docket: 2-591.5

**RECEIVED**

Serial No. 09/774,538

Group Art Unit: 2176

JUL 16 2002

Filed: January 31, 2001

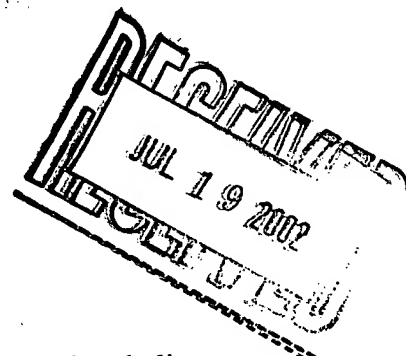
Examiner: To be assigned

Technology Center 2100

For: SYSTEM FOR MANAGING RISK



ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231



**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to the examination of this application and in respect of which they may have a duty to disclose in accordance with 37 C.F.R. 1.56.

This Information Disclosure Statement is timely since it is certified by the undersigned that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office (copy enclosed) in any counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement per 37 C.F.R. 1.97(c) and 37 C.F.R. 1.97 (e)(1).

I hereby certify that this correspondence is being deposited today  
with the United States Postal Service as first class mail in an  
envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231

Jodie Droniak July 1, 2002  
Jodie Droniak Date

Serial No. 09/774,538  
Atty. Docket No.2-591.5

The references are listed in the enclosed foreign search report dated April 8, 2002. A concise explanation of the relevance of each reference is specifically pointed out on page 2 of the search report. A PTO 1449 listing the cited references is also enclosed.

Respectfully submitted,



James A. Retter  
Registration No. 41,266

Date: July 1, 2002

WARE, FRESSOLA, VAN DER SLUYS  
& ADOLPHSON LLP  
Bradford Green, Building 5  
755 Main Street, PO Box 224  
Monroe, CT 06468  
(203) 261-1234

**FORM PTO-1449**  
**INFORMATION DISCLOSURE STATEMENT**

**ATTY DOCKET NO.**  
 2-591.5

**SERIAL NO.**  
 09/774,538

**APPLICANTS:** Ian Abrahams

**FILING DATE:**  
 January 31, 2001

**ART UNIT:** 2176

**UNITED STATES PATENT DOCUMENTS**

EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 5, 930,762	July 27, 21999	Vladimir A. Masch			

**RECEIVED**

JUL 16 2002

Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	TRANSLATION YES/NO
	AU	59440/98	Sep. 24, 1998	Bergman Voysey, etc.			
	WO	94/27490	Dec. 8, 1994	Eisenberg, A. et al			
	FR	2752472	Feb. 20, 1998	Merrill Lynch, etc.			Abstract only

**OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)**


Examiner

Date: